

## AUDIT SHEET - CLINIC

Provider Name _____	Provider E&M _____	Analyst E&M _____
Patient Name _____	Provider Dx _____	Analyst Dx _____
MRN # _____	_____	_____
DOS _____	_____	_____

CC		Problem Focused	Exp. Prob. Focused	Detailed	Comprehensive
<b>HISTORY</b>	<b>HPI : History of Present Illness</b> ___ Location ___ Severity ___ Timing ___ Modifying factors ___ Quality ___ Duration ___ Context ___ Associated signs and symptoms	Brief (1-3)	Brief (1-3)	Extended (4+)	Extended (4+)
	<b>HPI : Status of Chronic Conditions</b> ___ 3 conditions 1) _____ 2) _____ 3) _____	None	None	3 Chronic and Status of each	3 Chronic and Status of each
	<b>ROS: Review of Systems</b> ___ Const ___ ENMT ___ GI ___ Integumentary ___ Endo ___ Eyes ___ Cardiovascular ___ Musculo ___ Neuro ___ Hem/Lymph ___ Resp ___ Psych ___ Allergies/Imm ___ "All others reviewed & negative"	None	Pertinent to problem (1 system)	Extended (2-9 systems)	Complete (10+ or some systems w/ statement "all others reviewed and negative")
	<b>PFSH (past med, family, social hx):</b> ___ Past history ___ Family history ___ Social history	None	None	Pertinent (1)	<b>Complete</b> New Patient & Consult (3) Est Pt (2)

EXAM	Body Areas:	95 Guidelines			
	___ Head/Face ___ Chest, Breasts, Axillae ___ Abdomen ___ Back, incl. spine ___ Each extremity ___ Neck ___ Genitalia, groin, buttocks	1 body area or system	2 - 7 body areas/systems	2 - 7 body areas/systems (1 detailed)	8 or more body areas/systems NGS Medicare specifies systems only
	Organ Systems:	97 Guidelines			
	___ Const ___ ENMT ___ Resp ___ Musculo ___ Psych ___ Eyes ___ Cardiovascular ___ GU ___ Neuro	1-5 bullets (1 or more body areas or systems)	6 bullets (1 or more body areas or system)	12 bullets in 2 or more body areas/sys or 2 bullets in 6 or more body areas/systems	2 bullets in 9 or more body areas/systems or a complete single organ system

E&M TYPES / LEVELS	New Patient							
	<b>99201</b> ___ prob focused ___ straight ___ 10 min	<b>99202</b> ___ expanded ___ straight ___ 20 min	<b>99203</b> ___ detailed ___ low ___ 30 min	<b>99204</b> ___ comp ___ mod ___ 45 min	<b>99205</b> ___ comp ___ high ___ 60 min	History Exam MDM		} Need all 3 key components to meet or exceed
	Time based : MUST ANSWER YES TO ALL 3 Does doc include total time ? ___ Yes ___ No Does doc describe content of "counseling & coordination of care?" ___ Yes ___ No Does doc include > 50% time spent "counseling/coordination of care?" ___ Yes ___ No							
	Established Patient							
	<b>99211</b> ___ n/a ___ n/a ___ 5 min	<b>99212</b> ___ prob focused ___ straight ___ 10 min	<b>99213</b> ___ expanded ___ low ___ 15 min	<b>99214</b> ___ detailed ___ mod ___ 25 min	<b>99215</b> ___ comp ___ high ___ 40 min	History Exam MDM		} 2 of 3 key components to meet or exceed and ONE MUST be MDM
	Time based : MUST ANSWER YES TO ALL 3 Does doc include total time ? ___ Yes ___ No Does doc describe content of "counseling & coordination of care?" ___ Yes ___ No Does doc include > 50% time spent "counseling/coordination of care?" ___ Yes ___ No							
	Office Consults							
	<b>99241</b> ___ prob focused ___ straight ___ 15 min	<b>99242</b> ___ expanded ___ straight ___ 30 min	<b>99243</b> ___ detailed ___ low ___ 40 min	<b>99244</b> ___ comp ___ mod ___ 60 min	<b>99245</b> ___ comp ___ high ___ 80 min	History Exam MDM		} Need all 3 key components to meet or exceed
	Time based : MUST ANSWER YES TO ALL 3 Does doc include total time ? ___ Yes ___ No Does doc describe content of "counseling & coordination of care?" ___ Yes ___ No Does doc include > 50% time spent "counseling/coordination of care?" ___ Yes ___ No							

PREVENTIVE	99381	99382	99383	99384	99385	99386	99387	New Patient Prevent
		Younger than 1 year	AGE 1 - 4	AGE 5 - 11	AGE 12 - 17	AGE 18 - 39	AGE 40 - 64	AGE 65 +
	99391	99392	99393	99394	99395	99396	99397	Established Patient Prevent
	Younger than 1 year	AGE 1 - 4	AGE 5 - 11	AGE 12 - 17	AGE 18 - 39	AGE 40 - 64	AGE 65 +	Age appropriate history & exam; counseling/anticipatory guidance/risk actor reduction interventions, and ordering of labs/diagnostic procedures

Number of Diagnoses or Treatment Options					Risk of Complications and/or Morbidity or Mortality					
A	B	X	C	=	D	Level of Risk	Presenting Problem(s)	Diagnostic Procedure(s) Ordered	Management Options Selected	
Problems to Exam Physician	Number	Points	Result							
Self-limited or minor (stable, improved or worsening)	Max=2	1				MINIMAL	*One self-limited or minor problem, e.g. cold, insect bite, tinea corporis	*Lab tests requiring venipuncture *Chest x-rays *EKG/EEG *Urinalysis *Ultrasound, e.g. echo *KOH prep	*Rest *Gargles *Elastic bandages *Superficial dressings	
Est. problem (to examiner); stable, improved		1								
Est. problem (to examiner); worsening		2								
New problem (to examiner); no addl workup planned	Max=1	3								
New problem (to examiner); addl workup planned		4								
TOTAL										
Amount and/or Complexity of Data to Be Reviewed										
Data to Be Reviewed				Points						
Review and/or order of clinical lab tests				1						
Review and/or order of tests in the radiology section of CPT				1						
Review and/or order of tests in the medicine section of CPT				1						
Discussion of test results with performing physician				1						
Decision to obtain old records and/or obtain history from someone other than patient				1						
Review and summarization of old records and/or obtaining history from someone other than patient and/or discussion of case with another health care provider				2						
Independent visualization of image, tracing, or specimen itself (not simply review of report)				2						
TOTAL										
Final Result for MEDICAL DECISION MAKING										
A Number diagnoses or management options	≤ 1 Minimal	2 Limited	3 Multiple	≥ 4 Extensive						
B Highest risk	Minimal	Low	Moderate	High						
C Amount and complexity of data	≤ 1 Minimal or low	2 Limited	3 Moderate	≥ 4 Extensive						
Type of decision making	Straight-forward	Low Complex.	Moderate Complex.	High Complex.						
<p>Draw a line down any column with 2 or 3 circles to identify the type of decision making in that column. Otherwise, draw a line down the column with the 2nd circle from the left.</p>										
						LOW	*Two or more self-limited or minor problems	*Physiologic tests not under stress, e.g. pulmonary function tests	*Over-the-counter drugs	
							MODERATE	*One stable chronic illness, e.g. well-controlled HTN or DM, cataract, BPH	*Non-cardiovascular imaging studies with contrast, e.g. barium enema	*Minor surgery with no identified risk factors
								*Acute uncomplicated illness or injury, e.g. cystitis, sprain, allergic rhinitis	*Superficial needle biopsies *Skin biopsies	*Physical therapy *Occupational therapy *IV fluids without additives
						HIGH	*One or more chronic illnesses with mild exacerbation, progression, or side effects of treatment	*Physiologic tests under stress, e.g. cardiac stress test, fetal contraction stress test	*Minor surgery with identified risk factors	
							*Two or more stable chronic illnesses	*Diagnostic endoscopies with no identified risk factors	*Elective major surgery (open, percutaneous, or endoscopic) with no identified risk factors	
							*Undiagnosed new problem with uncertain prognosis, e.g. lump in breast	*Deep needle or incisional biopsy	*Prescription drug management	
							*Acute illness with systemic symptoms, e.g. pneumonitis, colitis, pyelonephritis	*CV imaging studies with contrast and no identified risk factors, e.g. arteriogram, cardiac cath	*Therapeutic nuclear medicine *IV fluids with additives *Closed treatment of fracture or dislocation without manipulation	
						HIGH	*Acute complicated injury, e.g. injury with brief loss of consciousness	*Obtain fluid from body cavity, e.g. lumbar puncture, thoracentesis		
							*One or more chronic illnesses with severe exacerbation, progression, or side effects of treatment	*Cardiovascular imaging studies with contrast with identified risk factors	*Elective major surgery (open, percutaneous, or endoscopic) with identified risk factors	
							*Acute or chronic illnesses or injuries that may pose a threat to life or bodily function, e.g. multiple trauma, acute MI, pulmonary embolus, severe respiratory distress, psychiatric illness w/ potential threat to self or others, peritonitis, acute renal failure	*Cardiac electrophysiological tests *Diagnostic endoscopies with identified risk factors *Discography	*Emergency major surgery (open, percutaneous, or endoscopic) *Parenteral controlled substances *Drug therapy requiring intensive monitoring for toxicity	
						HIGH	*An abrupt change in neurologic status, e.g. seizure, TIA, weakness, sensory loss		*Decision not to resuscitate or to de-escalate care due to poor prognosis	

References:

Current Procedural Terminology, American Medical Association  
 Evaluation and Management Services Guide: [http://www.cms.gov/MLNProducts/downloads/eval\\_mgmt\\_serv\\_guide-ICN006764.pdf](http://www.cms.gov/MLNProducts/downloads/eval_mgmt_serv_guide-ICN006764.pdf)  
 Evaluation & Management Services: 1995 Documentation Guidelines: <http://www.cms.hhs.gov/mlnproducts/downloads/1995dg.pdf>  
 Evaluation & Management Services: 1997 Documentation Guidelines: <http://www.cms.hhs.gov/mlnproducts/downloads/master1.pdf>