

## AUDIT SHEET - HOSPITAL

Provider Name \_\_\_\_\_ Provider E&M \_\_\_\_\_ Analyst E&M \_\_\_\_\_  
 Patient Name \_\_\_\_\_ Provider Dx \_\_\_\_\_ Analyst Dx \_\_\_\_\_  
 MRN # \_\_\_\_\_  
 DOS \_\_\_\_\_

CC		Problem Focused	Exp. Prob. Focused	Detailed	Comprehensive
<b>HISTORY</b>	<b>HPI : History of Present Illness</b> ___ Location ___ Severity ___ Timing ___ Modifying factors ___ Quality ___ Duration ___ Context ___ Associated signs and symptoms	Brief (1-3)	Brief (1-3)	Extended (4+)	Extended (4+)
	<b>HPI : Status of Chronic Conditions</b> ___ 3 conditions 1) _____ 2) _____ 3) _____	None	None	3 Chronic and Status of each	3 Chronic and Status of each
	<b>ROS: Review of Systems</b> ___ Const ___ ENMT ___ GI ___ Integumentary ___ Endo ___ Eyes ___ Cardiovascular ___ GU ___ Neuro ___ Hem/Lymph ___ Resp ___ Musculo ___ Psych ___ Allergies / Imm ___ "All others reviewed & negative"	None	Pertinent to problem (1 system)	Extended (2-9 systems)	Complete (10+ or some systems w/ statement "all others reviewed and negative")
	<b>PFSH (past med, family, social hx):</b> ___ Past history ___ Family history ___ Social history	None	None	Pertinent (1)	Complete Consult (3) Init Hosp (3) Init Obs (3)
<b>EXAM</b>	<b>Body Areas:</b> ___ Head/Face ___ Chest, Breasts, Axillae ___ Abdomen ___ Back, incl. spine ___ Each extremity ___ Neck ___ Genitalia, groin, buttocks	<b>95 Guidelines</b>			
	<b>Organ Systems:</b> ___ Const ___ ENMT ___ Resp ___ Musculo ___ Psych ___ Eyes ___ Cardiovascular ___ GU ___ Neuro ___ Skin ___ Hem/Lymph/Imm	<b>97 Guidelines</b>			
<b>E&amp;M TYPE S / LEVELS</b>	<b>99221 99222 99223</b> <b>99234 99235 99236</b> <b>99218 99219 99220</b> ___ detailed ___ comp ___ comp ___ detailed ___ comp ___ comp ___ SF - Low ___ mod ___ high ___ 30 min ___ 50 min ___ 70 min	<b>Initial Hospital Care</b> <b>Adm/Disch Same Day</b> <b>Initial Observation Care</b> History } Exam } Need all 3 key components to meet or exceed MDM } Time based : MUST ANSWER YES TO ALL 3 (for Initial Hospital Care ONLY, NO times associated with Observation) Does doc include total time ? ___ Yes ___ No Does doc describe content of "counseling & coordination of care?" ___ Yes ___ No Does doc include > 50% time spent "counseling/coordination of care?" ___ Yes ___ No			
	<b>99231 99232 99233</b> <b>99224 99225 99226</b> ___ prob focused ___ expanded ___ detailed ___ prob focused ___ expanded ___ detailed ___ SF - Low ___ mod ___ high ___ 15 min ___ 25 min ___ 35 min	<b>Subsequent Hospital</b> <b>Subsequent Observation</b> History } Exam } 2 of 3 key components to meet or exceed and ONE MUST be MDM MDM } Time based : MUST ANSWER YES TO ALL 3 Does doc include total time ? ___ Yes ___ No Does doc describe content of "counseling & coordination of care?" ___ Yes ___ No Does doc include > 50% time spent "counseling/coordination of care?" ___ Yes ___ No			
	<b>99251 99252 99253 99254 99255</b> <b>99281 99282 99283 99284 99285</b> ___ prob focused ___ expanded ___ detailed ___ comp ___ comp ___ prob focused ___ expanded ___ detailed ___ comp ___ comp ___ SF ___ SF ___ Low ___ mod ___ high ___ 20 min ___ 40 min ___ 55 min ___ 80 min ___ 110 min	<b>Inpatient Consultations</b> <b>Emergency Department Services</b> History } Exam } Need all 3 key components to meet or exceed MDM } Time based : MUST ANSWER YES TO ALL 3 Does doc include total time ? ___ Yes ___ No Does doc describe content of "counseling & coordination" of care? ___ Yes ___ No Does doc include > 50% time spent "counseling/coordination of care?" ___ Yes ___ No			
<b>DISCHARGE</b>	<b>99217</b> Observation Care Discharge on a day other than Observation Admit date <b>99238</b> Hospital Discharge < 30 minutes <b>99239</b> Hospital Discharge > 30 minutes (Time must be documented) Does document include a time statement? ___ Yes ___ No				

Number of Diagnoses or Treatment Options					Risk of Complications and/or Morbidity or Mortality				
A	B	X	C	=	D	Level of Risk	Presenting Problem(s)	Diagnostic Procedure(s) Ordered	Management Options Selected
Problems to Exam Physician	Number	Points	Points	Result					
Self-limited or minor (stable, improved or worsening)	Max=2	1				MINIMAL	*One self-limited or minor problem, e.g. cold, insect bite, tinea corporis	*Lab tests requiring venipuncture *Chest x-rays *EKG/EEG *Urinalysis *Ultrasound, e.g. echo *KOH prep	*Rest *Gargles *Elastic bandages *Superficial dressings
Est. problem (to examiner); stable, improved				1					
Est. problem (to examiner); worsening			2			LOW	*Two or more self-limited or minor problems *One stable chronic illness, e.g. well-controlled HTN or DM, cataract, BPH	*Physiologic tests not under stress, e.g. pulmonary function tests *Non-cardiovascular imaging studies with contrast, e.g. barium enema	*Over-the-counter drugs *Minor surgery with no identified risk factors *Physical therapy *Occupational therapy *IV fluids without additives
New problem (to examiner); no addl workup planned	Max=1	3							
New problem (to examiner); addl workup planned				4					
TOTAL									

  

Amount and/or Complexity of Data to Be Reviewed	
Data to Be Reviewed	Points
Review and/or order of clinical lab tests	1
Review and/or order of tests in the radiology section of CPT	1
Review and/or order of tests in the medicine section of CPT	1
Discussion of test results with performing physician	1
Decision to obtain old records and/or obtain history from someone other than patient	1
Review and summarization of old records and/or obtaining history from someone other than patient <b>and/or discussion of case with another health care provider</b>	2
Independent visualization of image, tracing, or specimen itself (not simply review of report)	2
TOTAL	

  

Final Result for MEDICAL DECISION MAKING				
A	B	C	D	E
Number diagnoses or management options	≤ 1 Minimal	2 Limited	3 Multiple	≥ 4 Extensive
Highest risk	Minimal	Low	Moderate	High
Amount and complexity of data	≤ 1 Minimal or low	2 Limited	3 Moderate	≥ 4 Extensive
Type of decision making	Straight-forward	Low Complex.	Moderate Complex.	High Complex.

Draw a line down any column with 2 or 3 circles to identify the type of decision making in that column. Otherwise, draw a line down the column with the 2nd circle from the left.

  

Level of Risk	Risk of Complications and/or Morbidity or Mortality		
	Presenting Problem(s)	Diagnostic Procedure(s) Ordered	Management Options Selected
MINIMAL	*One self-limited or minor problem, e.g. cold, insect bite, tinea corporis	*Lab tests requiring venipuncture *Chest x-rays *EKG/EEG *Urinalysis *Ultrasound, e.g. echo *KOH prep	*Rest *Gargles *Elastic bandages *Superficial dressings
	*Two or more self-limited or minor problems *One stable chronic illness, e.g. well-controlled HTN or DM, cataract, BPH	*Physiologic tests not under stress, e.g. pulmonary function tests *Non-cardiovascular imaging studies with contrast, e.g. barium enema	*Over-the-counter drugs *Minor surgery with no identified risk factors *Physical therapy *Occupational therapy *IV fluids without additives
LOW	*Acute uncomplicated illness or injury, e.g. cystitis, sprain, allergic rhinitis	*Superficial needle biopsies *Skin biopsies	*Minor surgery with identified risk factors *Elective major surgery (open, percutaneous, or endoscopic) with no identified risk factors *Prescription drug management *Therapeutic nuclear medicine *IV fluids with additives *Closed treatment of fracture or dislocation without manipulation
	*One or more chronic illnesses with mild exacerbation, progression, or side effects of treatment *Two or more stable chronic illnesses *Undiagnosed new problem with uncertain prognosis, e.g. lump in breast *Acute illness with systemic symptoms, e.g. pneumonitis, colitis, pyelonephritis *Acute complicated injury, e.g. injury with brief loss of consciousness	*Physiologic tests under stress, e.g. cardiac stress test, fetal contraction stress test *Diagnostic endoscopies with no identified risk factors *Deep needle or incisional biopsy *CV imaging studies with contrast and no identified risk factors, e.g. arteriogram, cardiac cath *Obtain fluid from body cavity, e.g. lumbar puncture, thoracentesis	*Minor surgery with identified risk factors *Elective major surgery (open, percutaneous, or endoscopic) with identified risk factors *Emergency major surgery (open, percutaneous, or endoscopic) *Parenteral controlled substances *Drug therapy requiring intensive monitoring for toxicity *Decision not to resuscitate or to de-escalate care due to poor prognosis
MODERATE	*One or more chronic illnesses with severe exacerbation, progression, or side effects of treatment	*Cardiovascular imaging studies with contrast with identified risk factors *Cardiac electrophysiological tests *Diagnostic endoscopies with identified risk factors *Discography	*Elective major surgery (open, percutaneous, or endoscopic) with identified risk factors *Emergency major surgery (open, percutaneous, or endoscopic) *Parenteral controlled substances *Drug therapy requiring intensive monitoring for toxicity *Decision not to resuscitate or to de-escalate care due to poor prognosis
	*Acute or chronic illnesses or injuries that may pose a threat to life or bodily function, e.g. multiple trauma, acute MI, pulmonary embolus, severe respiratory distress, psychiatric illness w/ potential threat to self or others, peritonitis, acute renal failure *An abrupt change in neurologic status, e.g. seizure, TIA, weakness, sensory loss		
HIGH			

**References:**

Current Procedural Terminology, American Medical Association  
 Evaluation and Management Services Guide: [http://www.cms.gov/MLNProducts/downloads/eval\\_mgmt\\_serv\\_guide-ICN006764.pdf](http://www.cms.gov/MLNProducts/downloads/eval_mgmt_serv_guide-ICN006764.pdf)  
 Evaluation & Management Services: 1995 Documentation Guidelines: <http://www.cms.hhs.gov/mlnproducts/downloads/1995dg.pdf>  
 Evaluation & Management Services: 1997 Documentation Guidelines: <http://www.cms.hhs.gov/mlnproducts/downloads/master1.pdf>